

Walter & Keenan Wealth Management, LLC

James A. Sawdon

**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This brochure supplement provides information about James F. Keenan that supplements the ADV Part2A brochure of Walter & Keenan Wealth Management, LLC. You should have received a copy of that brochure. Please contact James Keenan at 574-287-5977 or at jamesfkeen@walterandkeen.com, if you did not receive the ADV Part2A brochure of Walter & Keenan Wealth Management, LLC if you have any questions about the contents of this supplement.

Additional information about Walter & Keenan Wealth Management, LLC is available on the SEC's website at www.adviserinfo.sec.gov.

July 12, 2021

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Educational Background and Business Experience

James A. Sawdon

Year of Birth: 1982

Formal Education after High School:

University of Notre Dame, South Bend, Indiana, MBA, 2015

Calvin University, Grand Rapids, Michigan, BS Accounting, 2006

Business Background for the Previous Five Years:

Walter & Keenan Wealth Management, LLC, Investment Advisory Representative, May 2019 to Present

Oliver Estate, Inc., Vice President, March 2016 to May 2019

Deloitte, Senior Consultant, September 2015 to March 2016

Certifications:

Certified Public Accountant: CPA Certification

Certified Public Accountants are licensed in Illinois by the Department of Financial and Professional Regulation. The current CPA certification requirements include:

- A bachelor's degree from an accredited college or university;
- 24 semester units in accounting-related subjects;
- 24 semester units in business-related subjects;
- 150 semester units (or 225 quarter units) of education;
- Passing the Uniform CPA exam;
- One year of general accounting experience supervised by a CPA with an active license; and
- Passing an Ethics course.

Disciplinary Information

James A. Sawdon has no disciplinary information to report.

Other Business Activities

James A. Sawdon has no outside business activities.

Additional Compensation

Mr. Sawdon receives no additional compensation from any outside source.

Supervision

Walter & Keenan Wealth Management, LLC is wholly-owned and operated by James F. Keenan. Investment Advisory representatives of Walter & Keenan Wealth Management, LLC are subject to a compliance manual and a code of ethics that impose certain limitations on personal trading activities of the employees of the firm and sets forth other requirements for Sawdon's conduct. Additionally, the firm's compliance manual and code are designed to enforce its policies to prevent the misuse or dissemination of material, non-public information about client account holdings by persons associated with the firm. Furthermore, James F. Keenan, as Chief Compliance Officer, conducts periodic reviews to ensure that client suitability information is accurate and current so as to provide reasonable assurance that the advice provided to clients is consistent with each client's stated investment objectives and the firm's policies and procedures. James A. Sawdon is supervised by James F. Keenan who may be reached at 574-287-8977.